#### JUDICIAL LEADERSHIP

Judge Brad Cummings Hopkins County, Pct. No. Two

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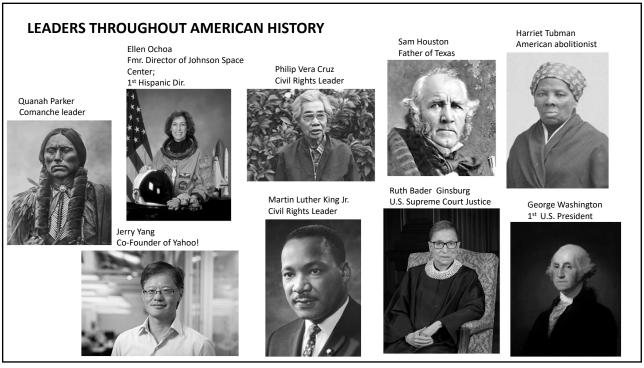
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#### **Learning Objectives**

As a result of this presentation, judges should be able to:

- 1. Identify conduct that, both, blemish and enhance the prestige of the office, on and off the bench.
- 2. Identify the importance of managing court cases.
- 3. Recognize importance of communication, both unconscious and direct.
- 4. Acknowledge subjectivity and the emphasize objectivity within the courtroom.
- 5. Discuss different strategies and remedies with colleagues.

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# Why Judicial Leadership?

- ✓ Respected
- ✓ Intellectual
- ✓ Administration of justice
- ✓ Accountability
- ✓ To tell their story to someone who cares

What else?

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# Why Judicial Leadership?

Texas Code of Judicial Cannons

- ✓ Canon 1: Uphold integrity of the Judiciary
- ✓ Canon 2: Avoid impropriety
- ✓ Canon 3: Perform duties impartial and diligent
- ✓ Canon 4: Minimize risk of conflict with extra-judicial activities
- ✓ Canon 5: Refrain from inappropriate political activity
- ✓ Canon 6: Comply with the code of Judicial Conduct
- ✓ Canon 7: Effective date of compliance

### **LEADERSHIP**

Objectivity Prudence Communication Case Probity

Management

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### **LEADERSHIP**

**Objectivity** 

### Objectivity - Definition

The ability to remain fair, impartial, and unbiased when evaluating information or evidence.

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#### Objectivity – Rules & Laws

- Texas Rules of Civil Procedure
- Texas Property Code
- Texas Code of Criminal Procedure
- Texas Penal Code
- Texas \_\_\_\_\_ Code
- TJCTC Deskbooks
- Texas Supreme Court

### Objectivity - Judicial Discretion

"We may try to see things as objectively as possible. Nonetheless, we can never see them with any eyes except our own."

- Justice Benjamin N. Cardozo Fmr. Justice of the U.S. Supreme Court

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# Objectivity – All Cases





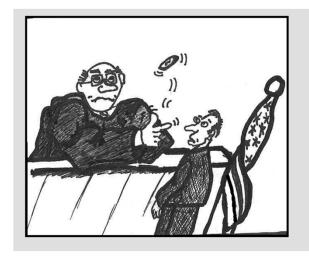
Preponderance of the evidence

#### Objectivity – How do we define discretion?

- The ability to make a decision in the absence of a fixed rule.
- The choice between two or more legally valid solutions.
- A choice made with regard to what is fair and equitable under the circumstances and the law.

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#### Objectivity – Why?



#### **LEADERSHIP**

**Objectivity** Probity

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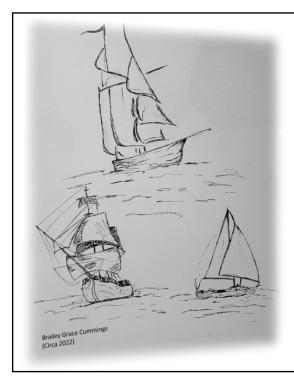
# Probity - Respectability

Adherence to the highest principles and ideals

On the bench



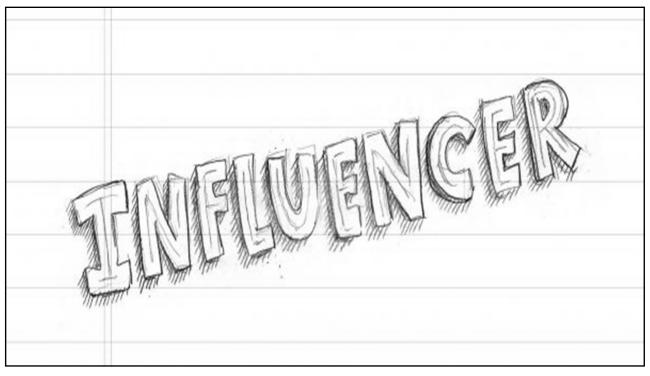
Off the bench



"The pessimist complains about the wind. The optimist expects it to change. The leader adjusts the sails."

- William Arthur Ward

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"The public's confidence in the judiciary is undermined when a judge's conduct simply creates the perception that cases have been prejudiced or that there is a political bias ..."

"... regardless of whether the perceived bias or prejudice exists."

The Tennessee Board of Judicial Conduct RE: Board of Judicial Conduct Complaints File Nos. B19-7753 and B19-7777

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#### **LEADERSHIP**

Objectivity Case Probity Management

#### Case Management - Definition

The process of handling court cases more resourcefully, timely, and orderly manner.

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#### Case Management – Why does it matter?

- ❖ Equal access to justice for all
- ❖ Fair and impartial treatment
- Timely disposition of each case consistent with individual case circumstances
- ❖ Public confidence

#### Case Management - Types

- Administrative
- Civil
- Animal
- Criminal
- State licenses
- Magistration
- Disposition of stolen property, seized gambling paraphernalia, prohibited and seized weapons, and destruction orders.
- Juvenile
  - **Environmental**
- Inquest
- Occupational driver's license
- Tow hearings

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#### Case Management - Preparation

- ➤ Timely Judicial Decision Making
- Time frames and deadlines
- ➤ Excessive delays
- ▶ Procrastination
- **≻**Motions

➤ Motions
➤ Extension or continuance
Preparation is key

#### Case Management

"A litigant is entitled to a fair trial, but not a perfect one, for there are no perfect trials."

Judge Jerome Frank
 U.S. Circuit Judge of the U.S. Court of Appeals,
 Second Circuit

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#### Case Management

- Record retention
- Rule 12
- FOIA
- Dispute resolution
- Transparency

- Web site
- Legal services programs
- Correct direction of travel
- Self-help center
- Anticipate

#### Case Management – Do's & Don'ts of Clarity

#### Don't

- 1. Legal advice
- 2. Recommend specific attorneys
- 3. Provide guidance or opinion
- Contemplate on a pending case
- 5. Fill out the paperwork

#### Do

- 1. Legal information
- 2. Provide a list of attorneys
- 3. See (1)
- 4. Refer to an attorney or legal aide
- 5. See (4)

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#### **Unruly individual**

#### **CONTEMPT!**

#### **LEADERSHIP**

**Objectivity Prudence** 

Case Probity Management

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# Prudence - Definition

Exercising sound judgment, wisdom, and temperament while concluding a rightful ruling.

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#### **Unruly individual**

#### **CONTEMPT!**

#### Self Represented Litigants and Procedural Fairness

- 6th Amendment
- McKaskle v. Wiggins
- Faretta v. Califonia "Faretta rights"

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Four key elements in ensuring perception of fairness and impartiality

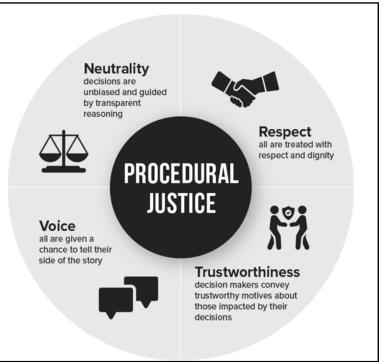
- **1. Voice**: The ability to participate in a case by expressing their viewpoint.
- 2. Neutrality: Consistently applied legal principles, unbiased decision makers, and transparency
- 3. Respectful treatment: Individuals are treated with dignity and their rights protected.
- **4. Trustworthy authorities**: Benevolent, caring, and sincere authorities garnered by listening to individuals and explaining or justifying decisions that address the litigant's needs.

#### **TRCP 500.6**

A Judge may question a witness or party and may summon any person or party to appear as a witness went the judge considers it necessary to ensure a correct judgment and a speedy disposition.

In order to develop the facts of the case.

(By order of the Supreme Court. Eff. Aug. 31, 2013)



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#### **LEADERSHIP**

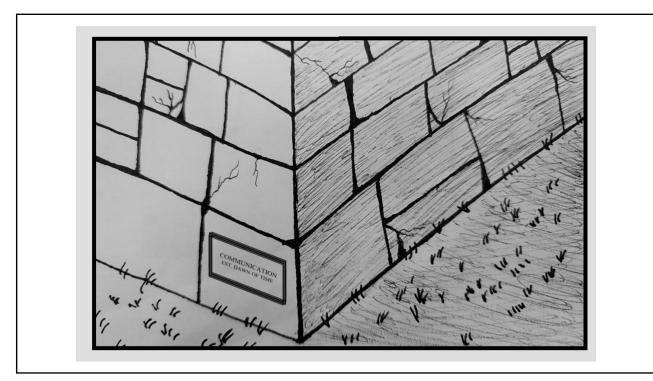
Objectivity Prudence Communication Case Probity

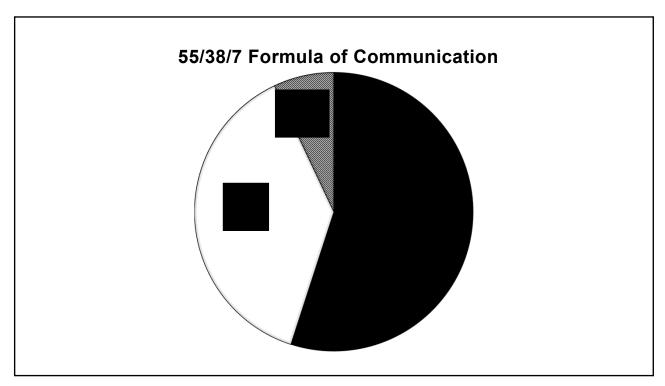
Management

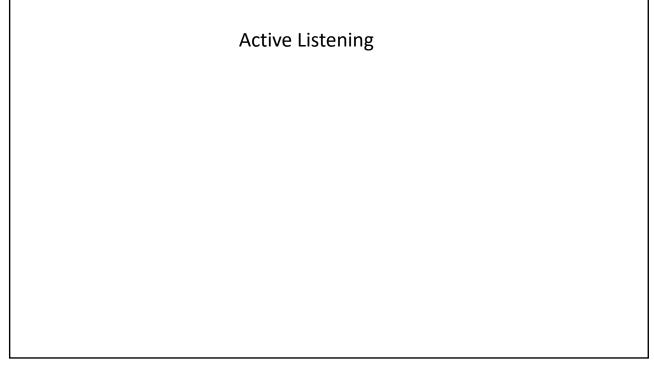
# Communication - Definition

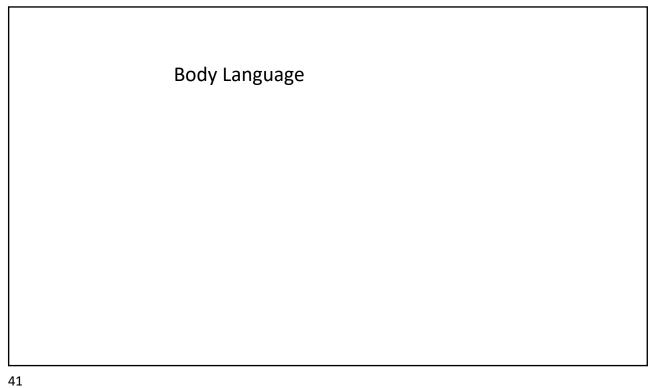
The ability to share information, ideas, and emotions with others in a clear and effective way

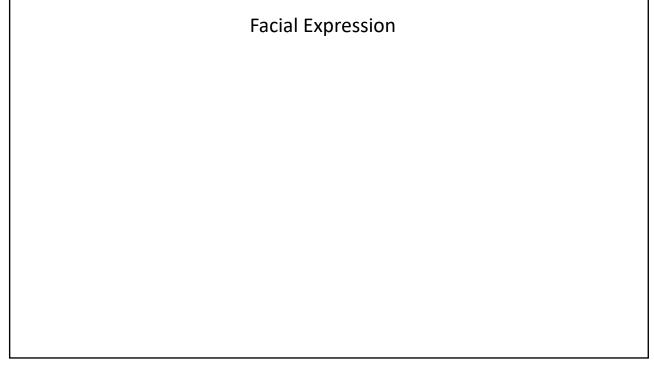
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**Clarity and Precision** 

**Constructive Criticism** 

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"The single greatest problem in communication is the illusion that it has taken place."

- William H.

Whyte

#### Communication - Prejudice

Cases holding that conduct was sufficiently prejudicial.

**State v. Galloway (New Jersey)** - The Judge referred to the defendant as a "thug", made comments about his appearance, and suggested that he was guilty before the trial had concluded.

**People v. Freeman (Illinois)** – The Judge referred to the defendant as a "gangbanger" and making comments about his tattoos

**State v. Jenkins (North Carolina)** - The Judge turned his back toward the jury during the defendant's testimony

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# **Constitutional**



**Auditors** 

# How do we respond to a First Amendment Audit encounter?

- Educate your employees. Watch and assess videos on YouTube.
- Don't engage or disproportionately react.
- Identify and clearly mark areas closed to the public.
- Policy doesn't supersede the constitution.
- No expectation of right to privacy in public
- Consult with your County Attorney

"No one is above the law and no one should ever be treated like they are beneath the law."



Judge Morris Overstreet Former Texas Court of Criminal Appeals Judge (1990-1998)

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### Judicial Stress - What do you do?



#### Judicial Stress



- Impact of decisions
- Expectations
- Isolation
- Financial pressure
- Lack of feedback
- Coroner related duties
- Political
- Court of public opinion

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