



**DIVISION OF RESEARCH
RESEARCH INTEGRITY AND COMPLIANCE**

SIGNIFICANT FINANCIAL INTEREST (SFI) ANNUAL DISCLOSURE

SUBMISSION INSTRUCTIONS

All investigators engaged in research or sponsored program activities must maintain a current Significant Financial Interest (SFI) Disclosure in accordance with UPPS 02.02.07.

Step 1: Log into Kuali Research

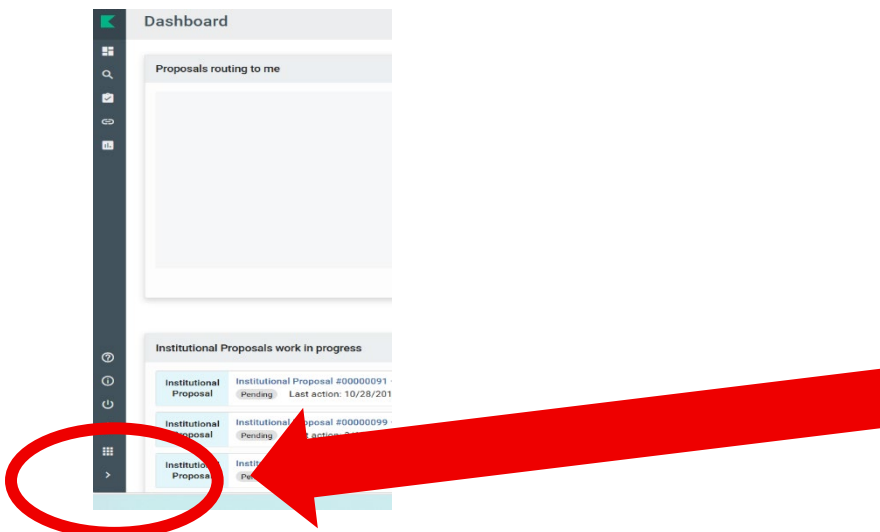
Navigate to: <https://txstate.kuali.co>

Sign in using your Texas State credentials

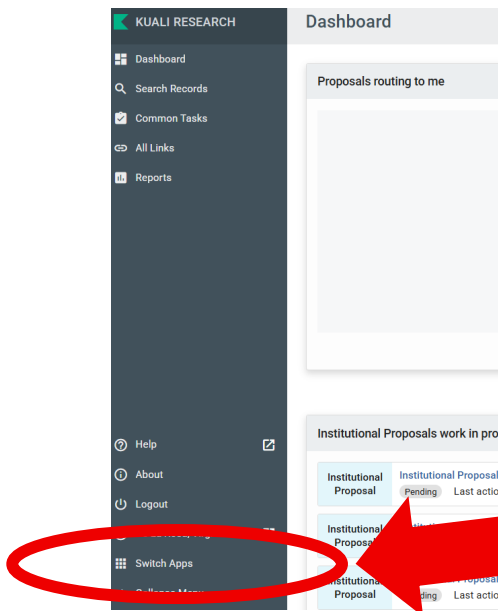
Step 2: Navigate to the Conflict of Interest Portal

When you log into Kuali Research, the navigation menu may be collapsed and appear as a narrow gray column on the left side of the screen.

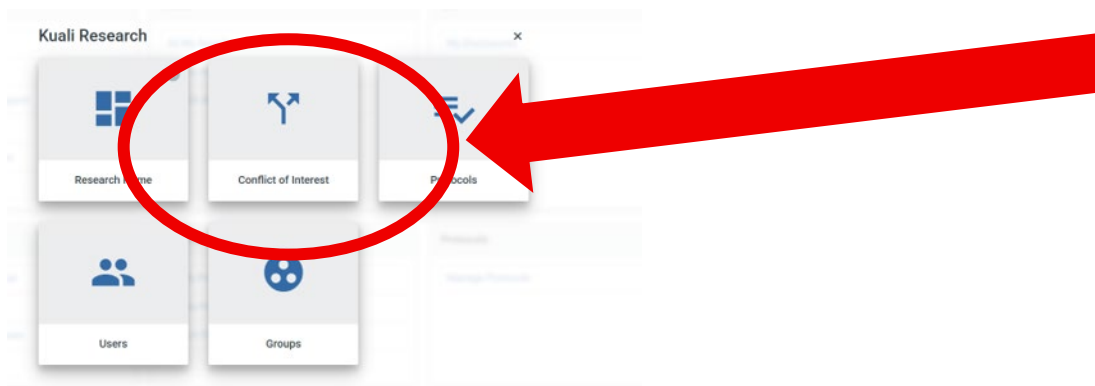
At the bottom of the menu there should be an arrow that looks like: >



That will expand the toolbar. Click the “Switch Apps” tab.

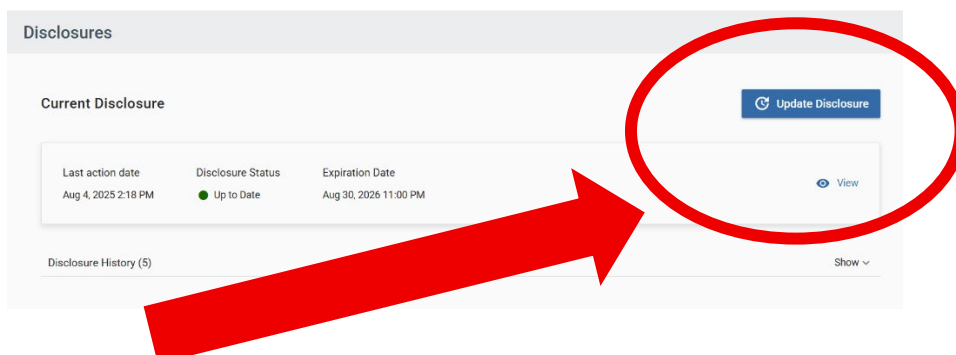


Click the “Conflicts of Interest” tile.

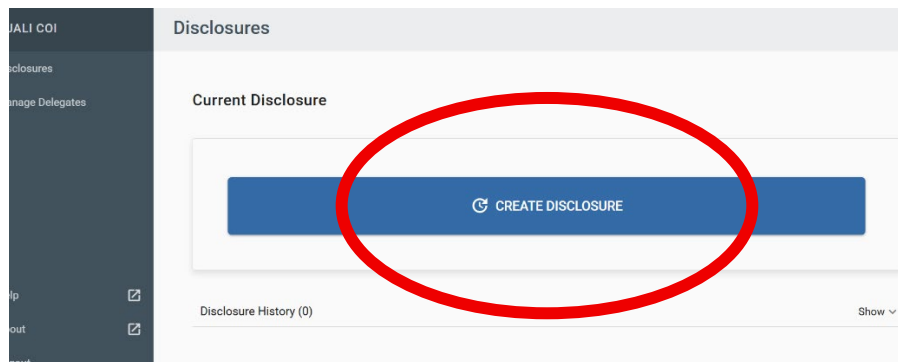


If you completed a disclosure last year, you will see it displayed.

Click the blue “Update Disclosure” on the top right part of your screen.



If you never submitted an SFI Annual Disclosure click the blue “CREATE DISCLOSURE” button.



Step 3: Complete the Disclosure Questionnaire

2026-27 SIGNIFICANT FINANCIAL INTEREST DISCLOSURE FORM

Please answer each question thoughtfully. You will have an opportunity to review and edit your answers after completing the questionnaire.

SIGNIFICANT FINANCIAL INTERESTS (SFI) QUESTIONNAIRE

A Significant Financial Interest is a financial interest consisting of one or more of the following interests of the Investigator (and those of the Investigator's spouse and dependent children) that reasonably appears to be related to the Investigator's research and other institutional responsibilities. For purposes of this definition, remuneration includes salary and any payment for services not otherwise identified as salary (e.g., consulting fees, honoraria, paid authorship), equity interest includes any stock, stock option, or other ownership interest, as determined through reference to public prices or other reasonable measures of fair market value.

- Income from investment vehicles such as mutual funds and retirement accounts is NOT a Significant Financial Interest UNLESS the Investigator directly controls the investment decisions made in these vehicles.
- With regard to any publicly traded entity, a significant financial interest exists if the value of any remuneration received from the entity in the twelve months preceding the disclosure and the value of any equity interest in the entity as of the date of disclosure, when aggregated, exceeds \$5,000.
- With regard to any non-publicly traded entity, a significant financial interest exists if the value of any remuneration received from the entity in the twelve months preceding the disclosure, when aggregated, exceeds \$5,000, or when the Investigator (or the Investigator's spouse or dependent children) holds any equity interest (e.g., stock, stock option, or other ownership interest).
- Intellectual property rights and interests (e.g., patents, copyrights), upon receipt of income related to such rights and interests.
- Travel related to institutional responsibilities that is reimbursed or sponsored by an entity other than a federal, state, or local government agency, institution of higher education as defined at 20 U.S.C. 1001(a), academic teaching hospital, medical center, research institute that is affiliated with an institution of higher education.

QUESTION 1:
Have you, your spouse, or your dependent children received remuneration (including salary, consulting fees, honoraria, paid authorship, speaking fees, advisory fees, gifts, or other compensation) from, and/or hold stock, stock options, or other ownership interests in, one or more publicly traded entities that reasonably appear related to your research or other institutional responsibilities, where the aggregate value of such remuneration and ownership interests exceeds \$5,000 as of the date of this disclosure?

☐ Yes
☒ No

QUESTION 2:
Do you, your spouse, or your dependent children own or hold any ownership interest in a private, non-publicly traded company or organization that reasonably appears related to your research or other institutional responsibilities?

☐ Yes
☒ No

Use the "→ Next" button on the right side of the screen to move through each section of the disclosure.

QUESTION 12:
Have you participated in a Foreign Talent Recruitment Program, foreign recruitment arrangement, or similar program during the preceding 12 months?

☐ Yes
☒ No

QUESTION 13:
I have a relationship or agreement with a foreign entity and I am unsure if it is categorized as a Malign Foreign Talent Recruitment Program. I would like to request a consultation with Research Integrity and Compliance.

☐ Yes
☒ No

MFTRP Attestation

☒ I certify that I am not currently participating in, employed by, contracted with, or otherwise a party to a Malign Foreign Talent Recruitment Program.

☒ I certify that I have disclosed any participation in foreign talent recruitment programs, foreign recruitment arrangements, foreign appointments, foreign affiliations, foreign support relationships, or similar activities required by federal law, sponsor requirements, or Texas State University policy.

☒ I understand that participation in a Malign Foreign Talent Recruitment Program may make me ineligible to participate in certain federally funded research and may result in institutional corrective action.

Use the "→ Next" button on the right side of the screen to move through each section of the disclosure.

Enter all reportable financial entities related to your Texas State institutional responsibilities. After entering or updating your financial entity information, click "Next."

Reporter: [Redacted]

Disclosure Status: In Progress

FINANCIAL ENTITY INFORMATION

Click the "+Add Info" tab below to enter the information regarding your financial entity.

Columns Add Line

ENTITY NAME	ACTIVE	TYPE	PUBLIC ENTITY	PROVIDE RESEARCH
	No			

50% Complete

Next Previous Save

Step 4: Submit your SFI Annual Disclosure

After completing the certification section and reviewing your responses, click the "Submit" button on the right side of the screen to finalize your disclosure.

Important: Your disclosure is not submitted until you click "Submit" and receive a confirmation message.

Reporter: Rubino, Sean David
sdr98@txstate.edu
50043150 - Research Integrity and Compliance

Disclosure Status: In Progress

DISCLOSURE CERTIFICATION

To certify your responses related to your SFI Disclosure, please ensure all boxes below have been checked.

- ☒ I certify that the information provided in this disclosure is complete, accurate, and truthful to the best of my knowledge and includes all relevant financial interests, external appointments, affiliations, support relationships, travel, foreign relationships, and other activities related to my institution's responsibilities.
- ☒ I acknowledge that the information may be disclosed to Texas State University officials, research sponsors, state and federal agencies, and other authorized entities as necessary to determine and ensure compliance with applicable laws, regulations, sponsor requirements, or university policy.
- ☒ I acknowledge that I have been informed of Texas State University's policies and procedures regarding Conflicts of Interest (FCOI), Conflicts of Commitment (COC), and Research Security.
- ☒ I acknowledge my responsibility to submit an updated disclosure when I have a new reportable financial interest, appointment, affiliation, support relationship, foreign relationship, or other reportable activity.
- ☒ I acknowledge that if I have disclosed the existence of a potential financial relationship, foreign affiliation, foreign support relationship, or other reportable activity, I may be required to provide additional information to determine whether a Financial Conflict of Interest, Conflict of Commitment, Research Security concern, or other compliance issue exists.
- ☒ I acknowledge that if a conflict, compliance concern, or research security concern is identified, I may be required to participate in the implementation of a management, mitigation, monitoring, or corrective action plan.
- ☒ I understand that failure to disclose required information may result in institutional corrective action, sponsor notification, restrictions on research participation, or other actions required by law, sponsor requirements, or university policy.
- ☒ I certify that the information provided in this disclosure is complete, accurate, and consistent, to the best of my knowledge, with information submitted or to be submitted to research sponsors, including biographical sketches, Current and Pending (Other) Support disclosures, proposal documents, progress reports, sponsor certifications, and other disclosures required by Texas State University, state agencies, or federal agencies. I understand that omissions, inaccuracies, or inconsistencies among such disclosures may require additional review, corrective action, sponsor notification, or other compliance measures.

To complete the disclosure process, click the "Submit" button on the right side of the screen. Closing the window or navigating away from the form will not submit your disclosure.

99% Complete

Submit Previous Save

Need Assistance?

If you have questions regarding SFI disclosure requirements or experience technical difficulties accessing the Kuali COI module, please contact:

Sean Rubino, Director – Research Integrity and Compliance

Email: srubino@txstate.edu

Phone: 512-245-1423